

GHAJAR EXHIBIT 30

12/11/2024

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Sean Bell

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

RICHARD KADREY, et al.,)

)

Individual and)

Representative)

Plaintiffs,)

)

v.)

Case No. 3:23-cv-03417-VC

)

META PLATFORMS, INC.,)

)

Defendant.)

)

** HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY **

Videotaped Deposition of SEAN BELL

San Francisco, California

Wednesday, December 11, 2024

Reported Stenographically by

Michael P. Hensley, RDR, CSR No. 14114

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1 Q. All right. And what's your position
2 there?

3 A. I'm a research scientist manager.

4 Q. All right. And when did you start at Meta
5 Platforms?

6 A. In January 2019.

7 Q. And when you started at Meta Platforms in
8 2019, did you hold the same position that you hold
9 today?

10 A. Yes. I -- my job offer may have said
11 something different. I don't remember the exact
12 title, but I've -- I've been a manager since I
13 started.

14 Q. Okay.

15 A. It may have said "research scientist," for
16 example.

17 Q. Have you been promoted since you began
18 working at Meta?

19 A. Yes. I was promoted from Level 6 to
20 Level 7.

21 Q. And what does that mean to be promoted
22 from Level 6 to Level 7?

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1 A. Yes. That's one of the things that I do.

2 Q. Okay. And you said that you work on --
3 you also work on collecting data for other
4 foundation models.

5 Did I hear that correctly?

6 A. That's right.

7 Q. What other foundation models do you
8 collect data for?

9 A. So I'm currently collecting data for
10 Llama 4 for the -- so that's the first one. The
11 second category is the image generation models. We
12 called it "Emu," E-m-u. And then the third category
13 is the movie generation models called "Movie Gen."

14 And so sometimes the data that my team
15 collects, even though it was intended for --
16 primarily for one of those three categories of
17 models, it might be useful to other teams; and so
18 occasionally we'll establish collaborations where we
19 might additionally share data with other teams.

20 Q. Okay. And in your role working on the
21 Llama models, do you provide any strategic guidance
22 on collecting or using data?

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1 A. Yes.

2 ATTORNEY HARTNETT: Object to the form.

3 THE WITNESS: Yes.

4 ///

5 BY ATTORNEY STOLER:

6 Q. Okay. What sort of guidance do you
7 provide as to the use of data for training Llama?

8 A. So ultimately what my team is accountable
9 for is the final dataset that goes into Llama. And
10 what -- what matters the most is, you know, what is
11 the benchmark performance as a result of training on
12 this data?

13 And so our goal is to maximize the
14 benchmark performance as a result of training on the
15 data, and so there's a huge number of different
16 steps prior to that point, prior to the actual data
17 you put into Llama.

18 And so I might be consulting on any part
19 of the strategy that leads up to that point. For
20 example, how do you process data? How do you curate
21 data? How do you measure data quality? How can you
22 decide that one dataset is better than another

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1 dataset? You know, what are the -- what is even the
2 scientific method to know how to attribute, you
3 know, this concept of, quote/unquote, "quality"?
4 Which means, really, the benchmark performance as a
5 result of training on the data. You know, it may
6 also be what -- you know, thinking about what kinds
7 of sources we need to be collecting.

8 And so generally -- either I might be
9 writing some of the strategies, or people -- or
10 leadership on my team may be writing those
11 strategies and then I'm reviewing it.

12 BY ATTORNEY STOLER:

13 Q. And prior to coming to Meta, did you ever
14 work on large language models?

15 A. No.

16 Q. So fair to say you learned about data
17 strategy for LLMs while at Meta?

18 A. Yes.

19 Q. Okay. And who did you learn that from?

20 A. For LLMs specifically, I read a lot of
21 academic papers. And also I -- you know, as a
22 manager, I took on a number of senior individual

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1 BY ATTORNEY STOLER:

2 Q. Let me rephrase.

3 A. Yeah.

4 Q. Does the quality of the pretraining data
5 affect the performance of an LLM?

6 ATTORNEY HARTNETT: Object to the form.

7 THE WITNESS: So what I was trying to get
8 at earlier is that we've basically defined our
9 notion of quality to be the performance of the LLM,
10 and so the benchmarks are a way to estimate the --
11 you know, estimate the performance.

12 You know, this was actually a major
13 challenge and question that we had to think about
14 when we started the team, which is how do you think
15 about quality and is it possible to know what it is.
16 And so we had some heuristics but we didn't have
17 good science connecting it.

18 And so a lot of the work that this team is
19 doing is trying take something that's a little bit
20 subjective and turn it into something as scientific
21 as possible, meaning that, you know, there's no sort
22 of judgment around it. It's a connection between a

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1 particular experiment that you do and then a very
2 measurable set of numbers.

3 (Clarification inquiry by The Court
4 Reporter.)

5 ///

6 BY ATTORNEY STOLER:

7 Q. What does the term -- in the context of
8 pretraining LLMs, what does the term "data mix"
9 refer to?

10 A. So "data mix" refers to the final overall
11 combination of data that the LLM is actually trained
12 on. And so there's really two kinds of activities
13 when creating -- when collect -- when creating a
14 dataset.

15 One of them will be on an individual
16 dataset basis, which types or sources of data do we
17 want to use, and which subset of the data -- or how
18 do I filter it or process it? And so that may give
19 us, say, order of approximately 100 different
20 individual sources.

21 The data mix is an additional step where I
22 now need to choose the relative weighting or

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1 proportion of those individual datasets. And so if
2 the weight is particularly high, I might repeat
3 certain datasets more often. If the weight is less
4 than 1, for example, then I might be taking a
5 proportional subsets; maybe I'll sample only 80
6 percent of some data source, for example.

7 And so the data mix is the work of
8 deciding all of those weights and proportions and
9 maybe whether or not to include a dataset at all.

10 Q. Do you oversee the determination of the
11 data mix for Llama 4's pretraining data?

12 A. Yes.

13 Q. Help me understand.

14 You testified that you are not aware of
15 what data goes into -- sorry, let me -- I'll start
16 again.

17 Help me understand. Did you previously
18 mention that you don't know what datasets were used
19 to train Llama 3 but are not being used to train
20 Llama 4?

21 ATTORNEY HARTNETT: Objection to form.

22 Asked and answered.

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1 Llama 4 pretraining dataset, would that render your
2 picture of the training data incomplete?

3 ATTORNEY HARTNETT: Objection to the form.

4 THE WITNESS: I'm not sure what you mean
5 by that.

6 BY ATTORNEY STOLER:

7 Q. Yeah. If you don't know what datasets
8 went into pretraining Llama 4, would you be able to
9 evaluate whether the dataset you created was
10 optimal?

11 ATTORNEY HARTNETT: Objection to the form.

12 THE WITNESS: So we -- so a lot of what
13 we're focused on -- I mean, I'm -- honestly, I'm not
14 sure that it's needed.

15 So I mean, we -- what we do is we -- we've
16 spent a lot of time figuring out what is the science
17 of what makes for good data in terms of the
18 benchmark performance. And so we have done a lot of
19 innovations in this space and come up with new types
20 of metrics and ways to understand the data.

21 And some of what we've learned in the past
22 is that human intuition on data is -- is wrong. And

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1 so in 2023, when I was not there, but I -- you know,
2 other researchers --

3 I'm sorry. My microphone dropped. Let me
4 put it back, sorry.

5 In 2023, I was told about research
6 projects where people attempted to look at the data
7 and make human judgements just on looking at the
8 data, did they think it would be useful to LLM
9 training or not.

10 And in a different workstream, they said
11 let's take a statistical approach where we only look
12 at the benchmark performance, and what they found
13 was that the human intuition actually led you to a
14 worse-performing LLM in the end and that it was
15 counterproductive to attempt to, on a per-document
16 or per-dataset basis, make human judgments about
17 that.

18 And so when I say that I've "shifted," it
19 was based on scientific learning; that the best way
20 to do data mix optimization is to, as much as
21 possible, distill everything into a statistical
22 view.

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1 Again, when I say "statistical view," I
2 mean, like, the distribution of tokens, the
3 distribution of benchmark scores, and, you know,
4 look at that view as much as possible, make all your
5 decisions in that lens. And then separately have a
6 central private -- you know, a privacy
7 infrastructure that guarantees that we're following
8 all of the -- all of our mitigation decisions and
9 that -- you know, one of the principles I've -- I've
10 pushed the team building that system was this idea
11 that you couldn't train on bad data even if you
12 tried. And when I say "bad data," I mean data that
13 disagrees with any of our privacy decisions.

14 BY ATTORNEY STOLER:

15 Q. You mention human -- let me back up.
16 You just said that there was a switch in
17 the approach that you took to evaluating data.

18 Did I get that correct?

19 A. I wouldn't call it a switch. And so,
20 again, I -- I wasn't there; so I've only heard, you
21 know, small pieces of what happened.

22 Q. Hmm.

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1 A. But my understanding, hearing from others,
2 is that there is a team in Europe that questioned
3 whether or not the team in the U.S. was doing the
4 right thing in terms of pretraining data. And so
5 they started a separate project to do human
6 annotation of data to try and use human judgments to
7 label each dataset -- is this a good quality dataset
8 or not? -- and, you know, build a whole process
9 around this.

10 You know, basically what happened was the
11 team in Europe looked at the pretraining data
12 directly, and they -- they thought, "This is very
13 low-quality data. You guys don't know what you're
14 doing. How -- why are we training on such bad data
15 from this human intuition?"

16 And so they started a project to do
17 annotation of this data to then label data as "good
18 quality" or "bad quality." And then it turns out
19 that that whole approach of annotation did not lead
20 to more useful filters, and so we're not using that
21 approach.

22 So it wasn't so much that we switched

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1 approaches. It was that there were a lot of
2 different approaches being tried at the same time,
3 and one of them was this annotation process to
4 challenge the results of the other team.

5 You know, and the last thing I'll add is
6 that I wasn't here for any of this, and so this is
7 me, you know, recalling what -- how other people
8 described to me how it went.

9 Q. And what you just described, was that
10 happening in connection with the pretraining of
11 Llama 3?

12 A. That is my understanding.

13 Q. Do you know if it was taking place before
14 Llama 3 was pretrained or after Llama 3 was
15 pretrained?

16 ATTORNEY HARTNETT: Objection to the form.

17 THE WITNESS: So this took place in --
18 this took place during the process of deciding on
19 the pretraining data for Llama 3, is my
20 understanding.

21 BY ATTORNEY STOLER:

22 Q. Okay.

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1 text data within LibGen?

2 A. No. I don't know.

3 Q. All right. Have you ever heard of a
4 dataset referred to as "Anna's Archive"?

5 A. Yes. I've heard of it.

6 Q. Is Anna's Archive a books dataset as well?

7 ATTORNEY HARTNETT: Object to the form.

8 THE WITNESS: So my understanding is that
9 Anna's Archive is a superset of LibGen and contains
10 a number of things. And so I -- my understanding is
11 that it contains books, but it -- it also has a lot
12 of other things in there.

13 BY ATTORNEY STOLER:

14 Q. Do you know if Meta ever used the Books3
15 dataset to train any of its Llama models?

16 A. Well, I've seen it mentioned in the Llama
17 paper; so for Llama 1. I don't know what data was
18 trained for Llama 2.

19 So I -- there was a list of code names for
20 Llama 3, and so I -- I don't actually know which of
21 the code names corresponds to Llama 3. So it may be
22 in there, but I don't know for certain.

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1 anyone in your team regarding the importance of
2 training on the Anna's Archive dataset?

3 ATTORNEY HARTNETT: Objection to form.

4 THE WITNESS: That's pretty vague. What
5 do you mean by that?

6 BY ATTORNEY STOLER:

7 Q. Has anyone on your team ever indicated to
8 you that it's important that Llama 4 train on the
9 Anna's Archive dataset?

10 A. I mean, that would've been connected with
11 us expanding from LibGen to Anna's Archive.

12 And so the general understanding was that
13 we need more reasoning data. "Reasoning" broadly
14 meaning STEM and mathematics and that one of the
15 best sources for reasoning data was in LibGen. And
16 so for that reason, you know -- then there was a
17 question of, you know, will we use all of LibGen or
18 not and do we need to look at other sources or
19 related things similar to LibGen which was around
20 the conversation of saying, you know, let's also
21 think about Anna's Archive.

22 Q. My question is just whether you remember

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1 mean?

2 A. Like, downloading it from publicly
3 available sources --

4 Q. Okay.

5 A. -- in the context of this bullet here.

6 Q. And can you think of an example of a
7 publicly available dataset of books/PDFs that you
8 downloaded?

9 A. Sure. I mean, there is a whole bunch of
10 PDFs on Spidermate and web crawling. And so, you
11 know, we made sure that when we're working with that
12 team that we ask them specifically to make sure to
13 prioritize downloading PDFs.

14 Q. Okay. And how is -- so I see that
15 sourcing books and PDFs is separate from licensing.

16 The -- is there -- do you know if there
17 was any attempt to license any of these books or PDF
18 datasets?

19 A. So --

20 ATTORNEY HARTNETT: Object to the form.

21 THE WITNESS: So at this time, we -- we
22 had -- if I remember correctly, we had not really

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1 gotten into trying to license anything at scale.

2 And, you know, first we needed budget and sort of
3 leadership alignment around the very idea that --
4 should we be approaching publishers or not.

5 Since then, we started approaching some
6 publishers, and what we found was that the volume of
7 content that they have is orders of magnitude
8 smaller than what we needed for pretraining; and so
9 that changed the focus from "Okay. Should we think
10 about licensing as a part of strategy of our
11 pretraining?"

12 And so there was a shift in thinking from
13 the time when we wrote this to now, which is that,
14 "Hey, licensing. We should think of it as a --
15 something for posttraining."

16 Like, it just doesn't exist in the scale
17 that you need for pretraining to even be meaningful.
18 Like, whether or not you add -- like, say
19 hypothetically you licensed every book from some
20 publisher and tried to put it into pretraining. It
21 would be a statistical noise that would be not even
22 measurable of whether or not you did it --

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1 pretraining scale, in terms of the volume of the
2 content.

3 And so -- you know, so since then, we've
4 been focusing on licensing for posttraining. And so
5 because I'm focused mostly on pretraining, I have
6 not been involved -- that involved in licensing.

7 BY ATTORNEY STOLER:

8 Q. When you say that it would be -- sorry.
9 When you say that it would amount to "statistical
10 noise" to include every book in the pretraining
11 data, what do you mean by that?

12 A. So what I mean is that the minimum size
13 right now we're able to measure, approximately
14 speaking, is about 100 billion tokens. So the final
15 data mix is, you know, say, 30 trillion in some of
16 the models and 60 trillion we're working towards for
17 the Llama 4 flagship model.

18 And the benchmarks themselves already are
19 a little bit noisy. So there's already some plus or
20 minus on certainty. And so if you change the data,
21 you have to know whether or not the change in data
22 resulted in a benchmark movement larger than the

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1 A. Well, I mean, the scale that we're able to
2 get in terms of PDFs -- and there's a lot of books
3 in those PDFs -- is something that we can measure,
4 you know, in terms of what you can download in terms
5 of publicly available sources.

6 So I'm talking about specifically a
7 licensing strategy where there is a bit of a song
8 and dance with, you know -- so say we go to someone
9 who has content to license and, you know, there's
10 a -- you know, haggling process on the price. You
11 know, it takes a bunch of their time; it takes our
12 time. They might send us a sample of the data.
13 They don't necessarily want to the give us all data
14 because then they think, "Well, I've just given it
15 to you."

16 So now -- so the problem is compounded
17 even more that they might give us a tiny sample of
18 data, which is very hard to figure out what it -- so
19 the problem of figuring out the value is made 100
20 times harder because they give us a tiny sample.

21 And as I mentioned earlier, what we know
22 is that human intuitions studying an individual

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1 CERTIFICATE OF SHORTHAND REPORTER

2

3 I, Michael P. Hensley, Registered Diplomate

4 Reporter for the State of California, CSR No. 14114,

5 the officer before whom the foregoing deposition was

6 taken, do hereby certify that the foregoing

7 transcript is a true and correct record of the

8 testimony given; that said testimony was taken by me

9 stenographically and thereafter reduced to

10 typewriting under my direction; that reading and

11 signing was not requested; and that I am neither

12 counsel for, related to, nor employed by any of the

13 parties to this case and have no interest, financial

14 or otherwise, in its outcome.

15

16

17

18

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Michael P. Hensley, CSR, RDR

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